

The background image shows a multi-story brick building with several windows. Bare trees are in the foreground, and several pieces of white plastic litter, including bags and a bottle, are scattered across the branches of the trees. The sky is a clear, bright blue.

**U3AC 16 April 2019**

**Plastics: Use, re-use, benefits  
and harm**

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# Outline

What are plastics?

What do we use them for?

Plastic waste: the problem

Balanced responses: lifecycle analysis

What happens to plastics at end-of-life?

Recycling?

What should we do about plastic packaging?



# What is plastic?

Any of a group of synthetic or natural organic materials that may be shaped when soft and then hardened, including many types of resins, polymers, cellulose derivatives, casein materials, and proteins *Dictionary.com*

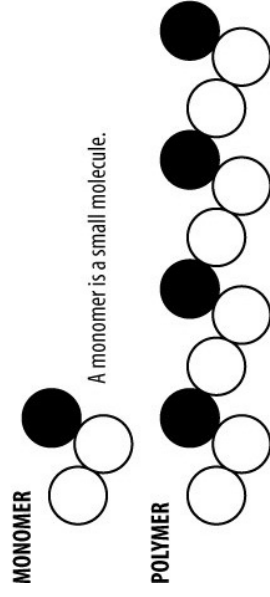
A synthetic material made from a wide range of organic polymers such as polyethylene, PVC, nylon, etc., that can be moulded into shape while soft, and then set into a rigid or slightly elastic form. *Oxforddictionaries.com*

## Polymer?

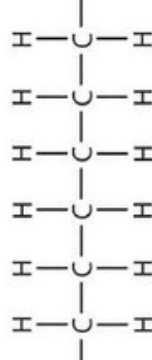
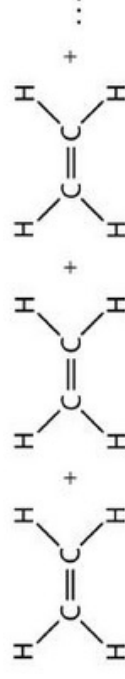
a compound of high molecular weight derived either by the addition of many smaller molecules, as polyethylene, or by the condensation of many smaller molecules with the elimination of water, alcohol, or the like, as nylon.

*Dictionary.com*

### Structure of Monomers and Polymers



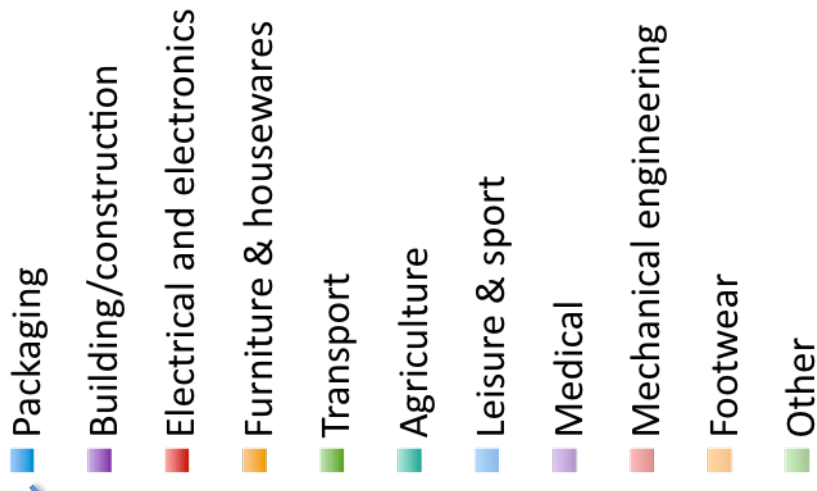
A polymer is a long-chain molecule made up of a repeated pattern of monomers.



Example: polythene is produced from monomer ethylene molecules

*Chemistry libretexts*

# UK plastics consumption by market sector



38%: Packaging  
22%: Building  
6%: Electrical  
7%: Transport  
7%: Furniture/homeware  
2%: Medical  
18%: Other



# Plastics applications

**Construction** e.g. Pipes, cladding, window frames, insulation, door and window seals

**Electronics and electrical** e.g. domestic appliances, wiring, small electronic devices

**Transport** e.g. small boats, fibreglass cars, aircraft interiors

**Medical** e.g. bearing surfaces for artificial hips and knees, artificial heart, contact lenses

# So what's gone wrong with our perception of plastic?

**I'm plastic-free**

English Heritage has swapped polythene magazine wrapping for this **100% compostable** wrapper made with **potato starch**.

Here are three ways you can dispose of it responsibly ...

- Add it to your garden compost heap
- Put it in your garden waste bin
- Use it to line your food waste caddy

**DON'T PUT ME IN PLASTICS RECYCLING**

This product is certified home compostable.  
Complies with European standard EN13432

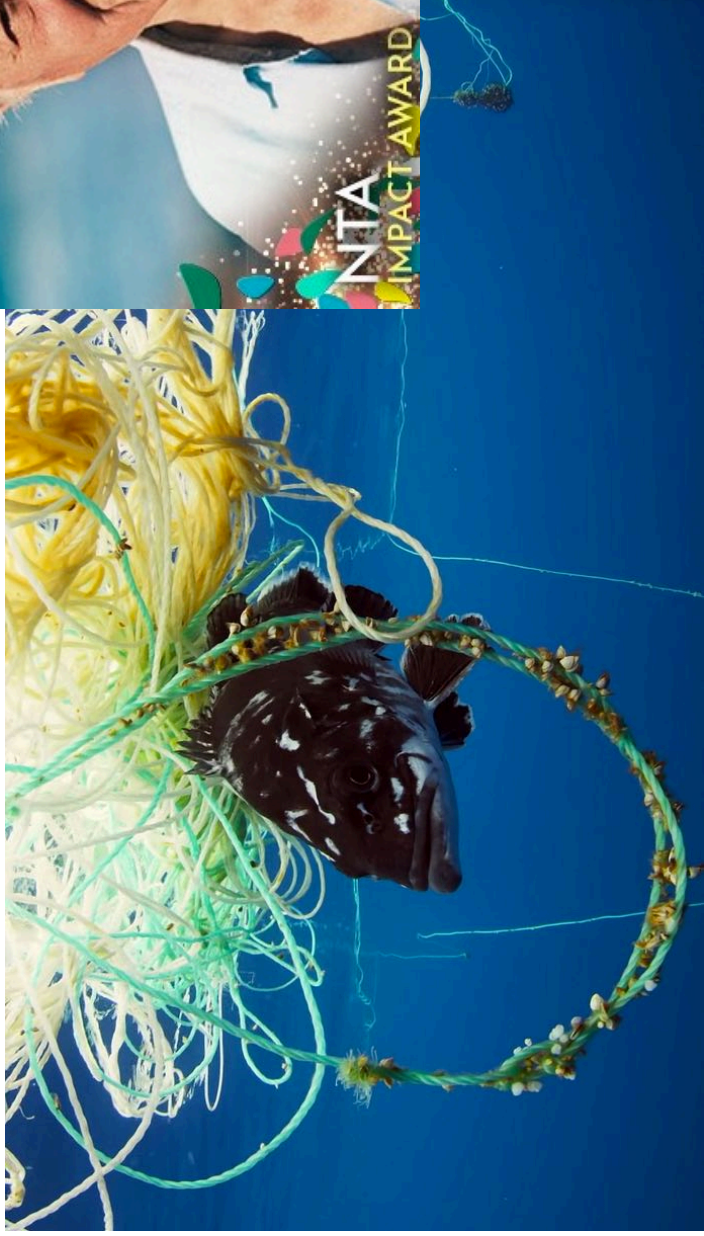
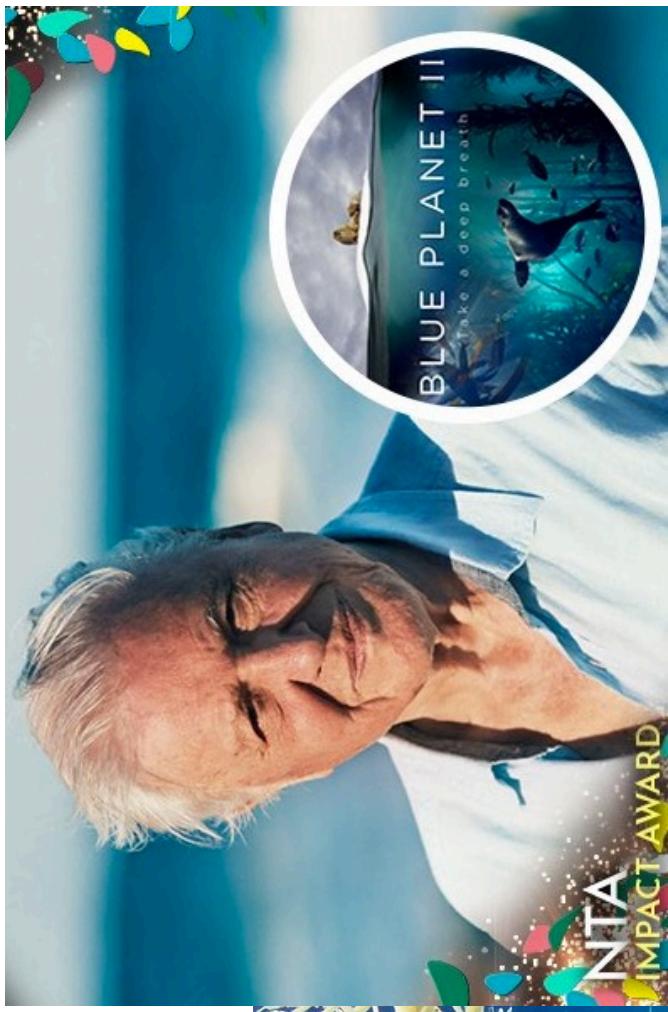
OK compost HOME compost  
TUV AUSTRIA  
HOUSE 100%



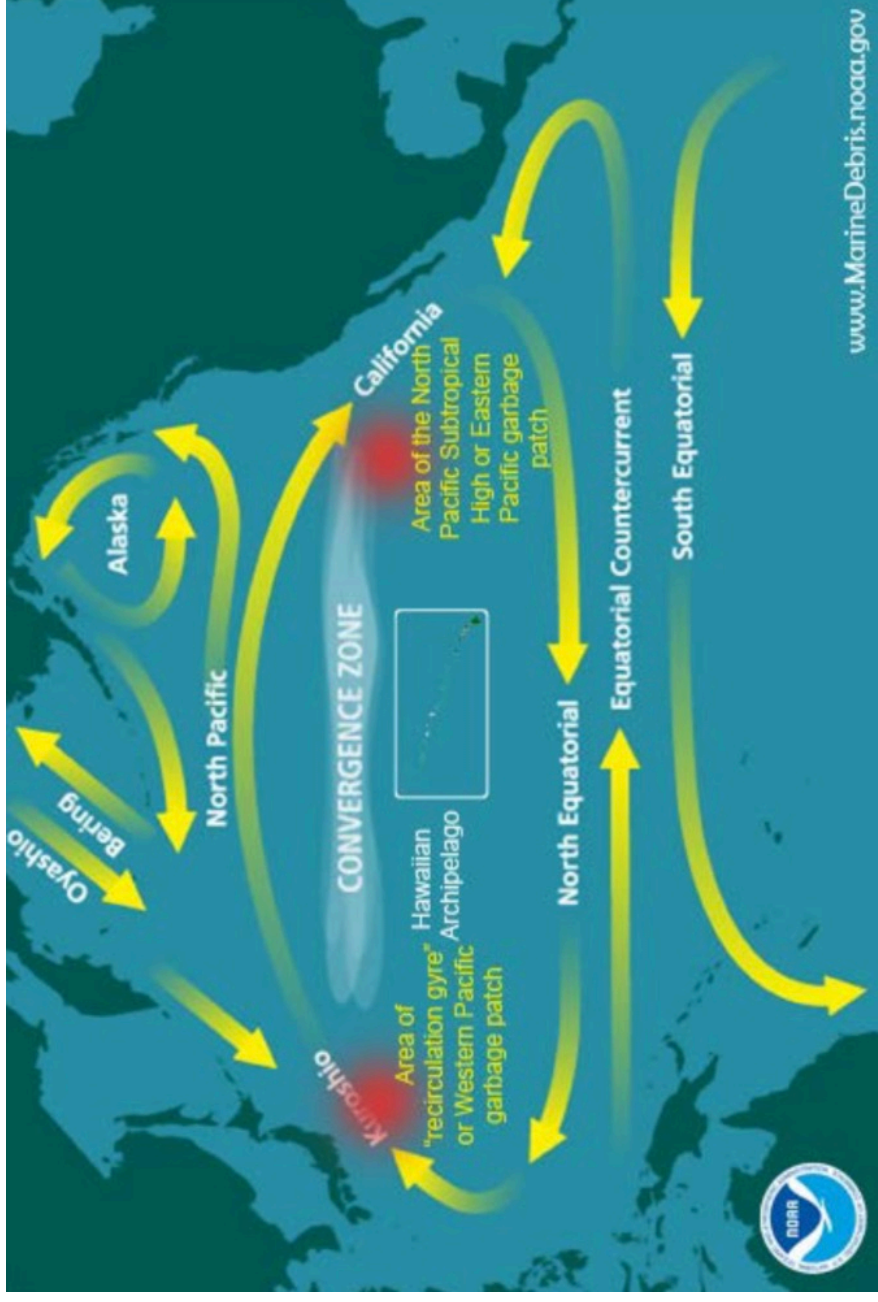
Plastic waste is the major culprit!

## *The Blue Planet Effect*

Global impact of David Attenborough's BBC TV series broadcast in autumn 2017



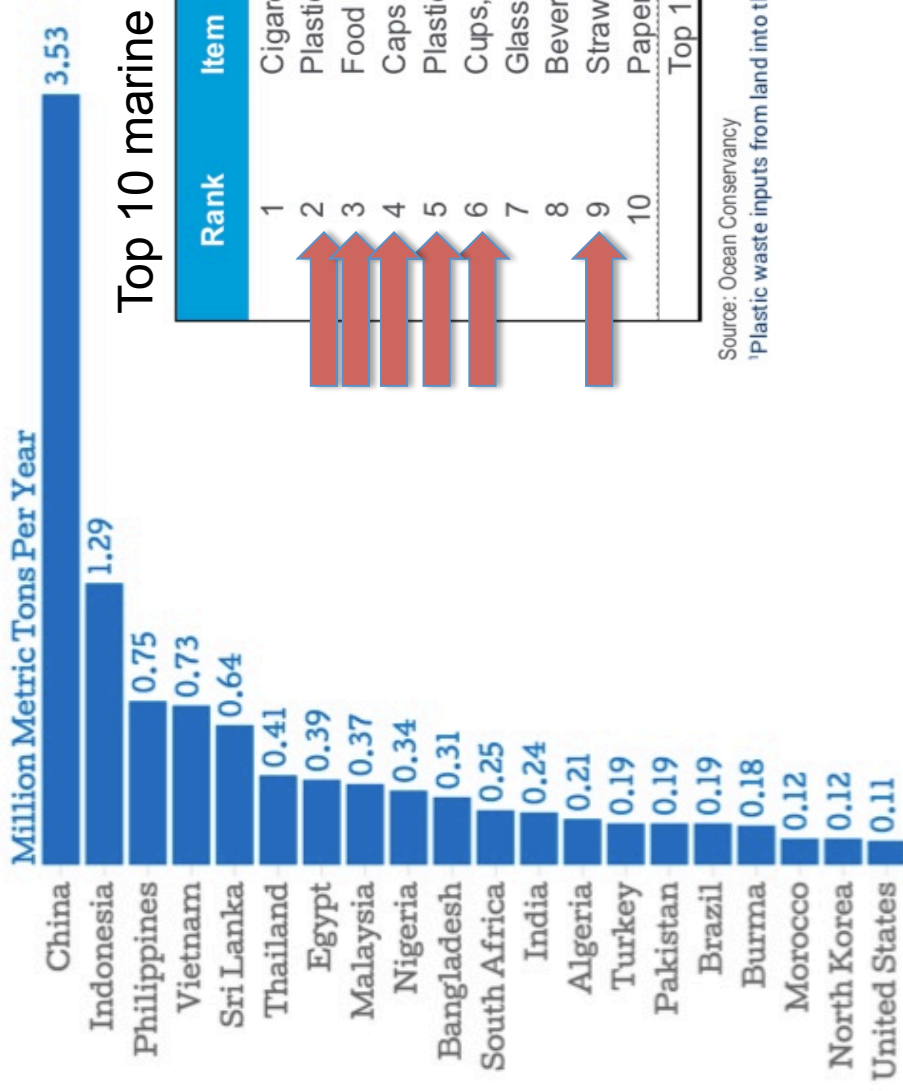
# Marine plastic waste in 'The great Pacific Garbage Patch'



Plastic waste pervades the whole world: it's found even in remote locations that were previously thought to be 'pristine'



# Marine plastic waste



Chartbuilder

Data: Jenna R. Jambeck et. al.

How does it get into the oceans?

*Main sources are*

Uncontrolled landfill sites

Uncontrolled waste disposal

## Top 10 marine debris items

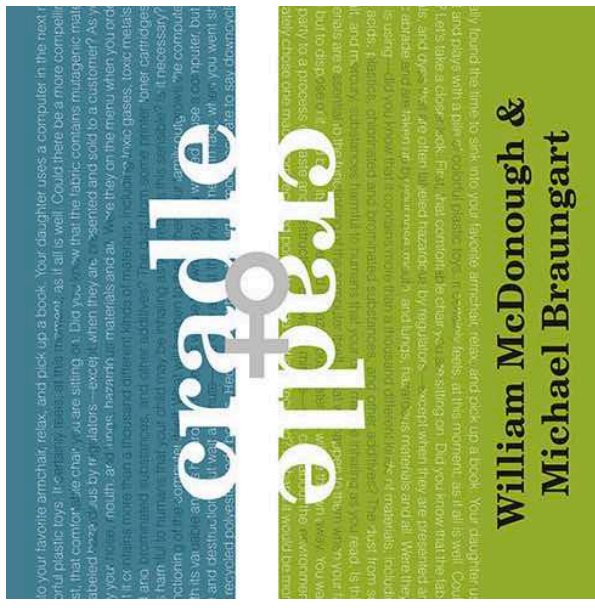
Rank	Item	% of Total Debris
1	Cigarettes	21%
2	Plastic Bags	11%
3	Food Wrappers/Containers	9%
4	Caps & Lids	9%
5	Plastic Beverage Bottles	9%
6	Cups, Plates & Utensils	5%
7	Glass Beverage Bottles	4%
8	Beverage Cans	4%
9	Straws & Stirrers	4%
10	Paper Bags	3%
Top 10		79%

Source: Ocean Conservancy

<sup>1</sup>Plastic waste inputs from land into the ocean, Science, 13 Feb 2015: Vol. 347, Issue 6223, pp. 768-771

# William McDonough

*We used to be able to throw things away. Remember that? Things went “away.” Where is “away” now? “Away” is here. “Away” is someone’s backyard. We now see that we inhabit a smaller and smaller planet. “Away” has become very close indeed.*












# Focus on plastic packaging

## Functions of primary packaging?

<b>Function</b>	<b>Achieved by</b>	<b>Example</b>
Product handling	Containers for loose goods and liquids; clustering of small items.	Bottle, box, bag (liquids, powders) Tray, bag (meat, cheese, loose foods and other products)
Barrier; hygiene	Prevents contact between atmosphere/environment and product; selective passage of gases; protect from light; prevent odours escaping.	Plastic bag for foods Multi-layer packaging for cheese
Protection	Fragile items: impact-resistant packaging; surface damage for other items	Egg box; blister pack
Increased product life	Barrier function; inert atmosphere; reduce handling	Foods in protective atmosphere or in vacuo
Tamper-evidence	Sealed container	Tamper-evident tabs; removable metal can lids
Information; advertising	Writing and pictures on packaging	Labels stuck on; printing directly on to packaging

# Functions of primary packaging

	Original packaging	New packaging	Result
 <b>Bananas</b>	Sold loose	Perforated polyethylene bags	Lasted 15 days unpacked versus 36 days in bags
 <b>Beef</b>	Polystyrene foam tray with cling wrap	Vacuum packing in oxygen barrier film	Shelf life extended from four days to up to 30 days
 <b>Bell peppers</b>	Sold loose	Modified atmosphere packaging with perforated polypropylene film	Lasted four days sold loose versus 20 days in packaging
 <b>Bread</b>	Paper bag	Biaxially oriented polypropylene film	Food waste reduced from 11.0% to 0.8%
 <b>Cheese</b>	Sliced at counter and wrapped in paper	Polyester tray with a polyethylene and polyester lid	Food waste reduced from 5.00% to 0.14%
 <b>Cucumbers</b>	Sold loose	Polyethylene shrink wrap	Shelf life extended from three days to 14 days
 <b>Grapes</b>	Sold loose	Perforated bags	Bagging leads to a 20% reduction in in-store waste

American Chemical Society

Quantitative data: Packaging reduces food waste as a result of its mechanical protection and barrier function

# Case study: product and lifecycle analysis

## Cheese packaging: *not just a plastic bag*

Selective barrier for molecules

Cheese matures in the package: releases CO<sub>2</sub>

Packaging has to

let CO<sub>2</sub> get out

stop water from getting out

stop oxygen from getting in

keep smells in

Without packaging, cheese loses 10% of its weight in 3 days from water evaporation.

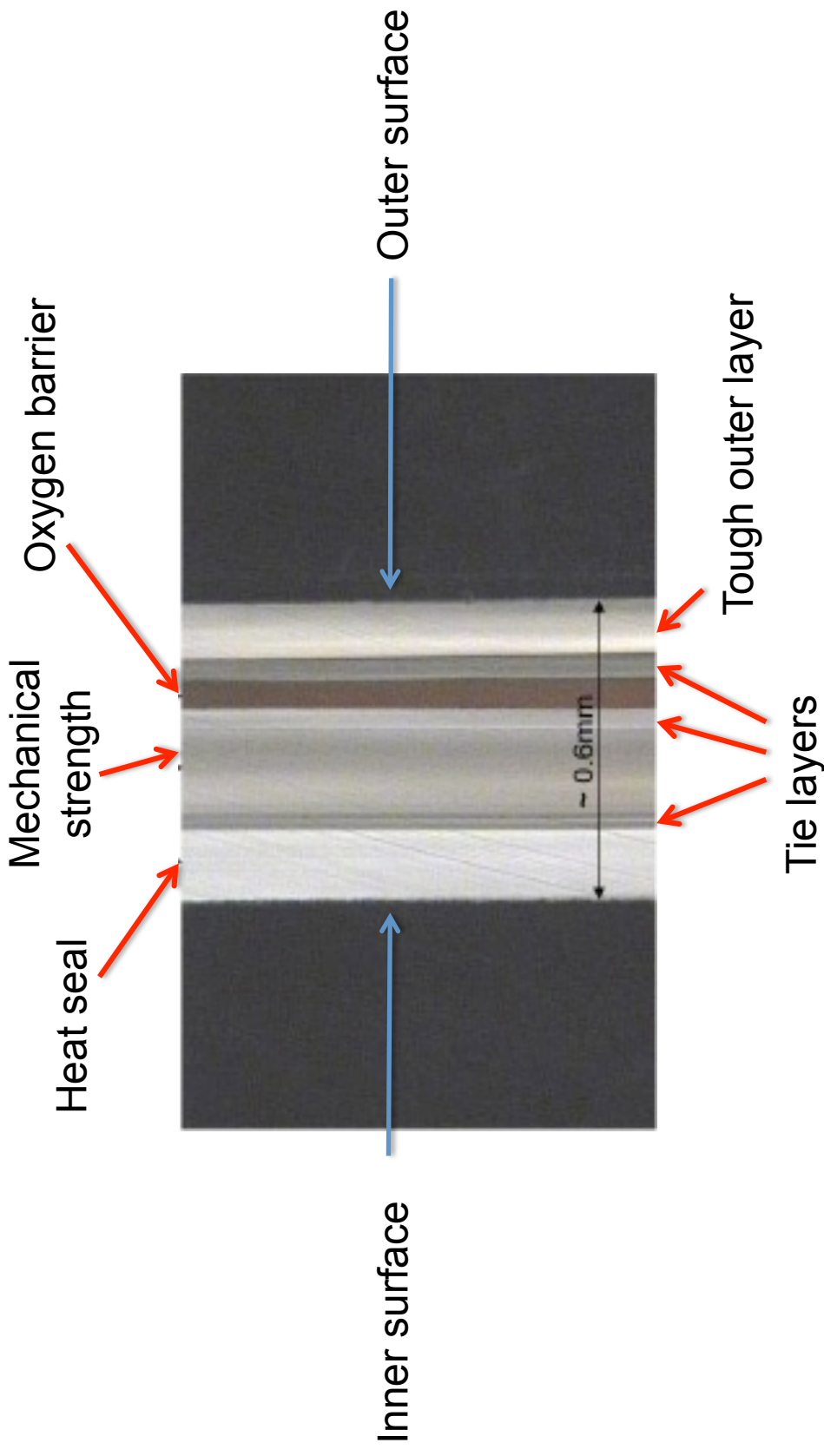
Changes to colour and texture make the cheese unsaleable

Packaging can increase shelf life to weeks or months





# Cheese packaging: film structure



7 layers; 5 different polymers. “Impossible to recycle”:

Incompatible polymers, and oxygen barrier degrades on heating (EVOH, releases HCl)  
Ideal challenge for a PhD project! Recycled material successfully made, but no market

# Cheese packaging: could we use a single polymer?

In each of these tables, polymers are normalized against the 'best' material

	PVDC	EVOH	HDPE	LDPE	PET
Oxygen barrier function only	Relative thickness	1	607	1786	20
	Relative CO <sub>2</sub> footprint	2.5	350	571	22
	Relative cost	2.5	4.5	13	0.2

Oxygen barrier: EVOH is best, PVDC is a close second best.

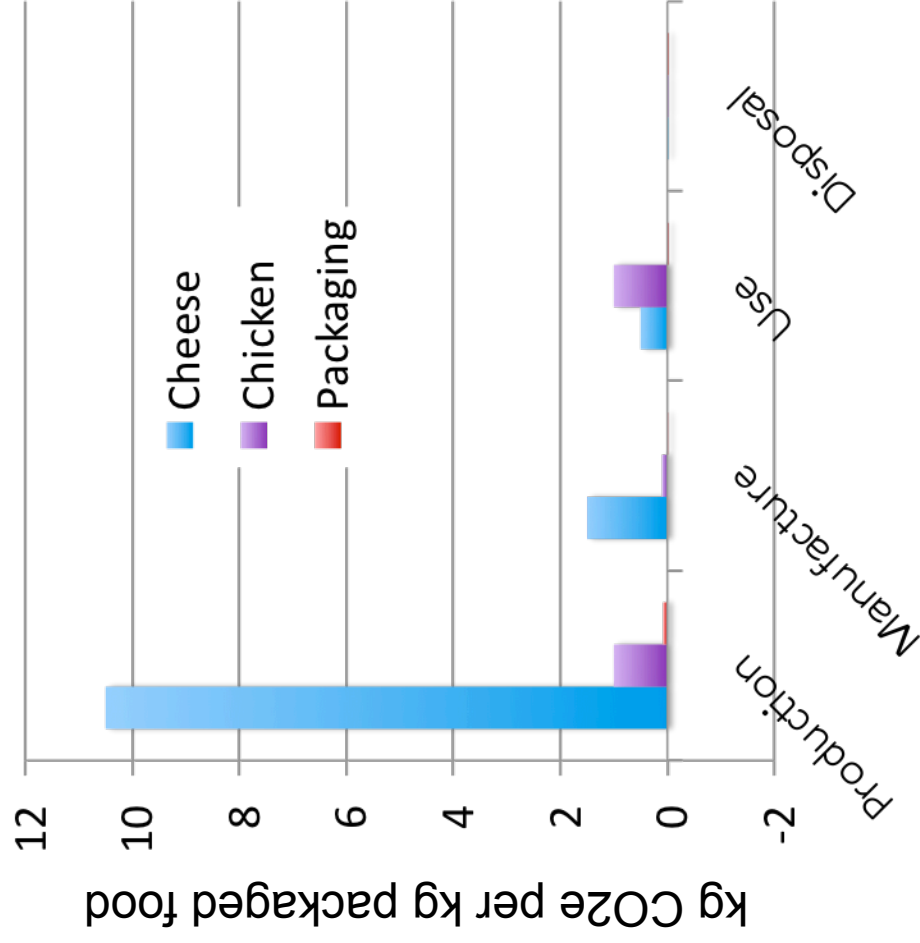
	PVDC	EVOH	HDPE	LDPE	PET
Moisture barrier function only	Relative thickness	26	1	10	18
	Relative CO <sub>2</sub> footprint	10	48	9	32
	Relative cost	1000	45000	1	12

Moisture barrier: HDPE is best, PVDC and LDPE next best (PVDC is expensive)

**Conclusion: Carbon footprint is minimised by using multi-layer film  
Best single polymer is PVDC – but it's difficult to recycle and expensive  
Best easily recyclable polymer is PET**

# Food packaging in context: lifecycle carbon footprint?

## Eco-indicator analysis



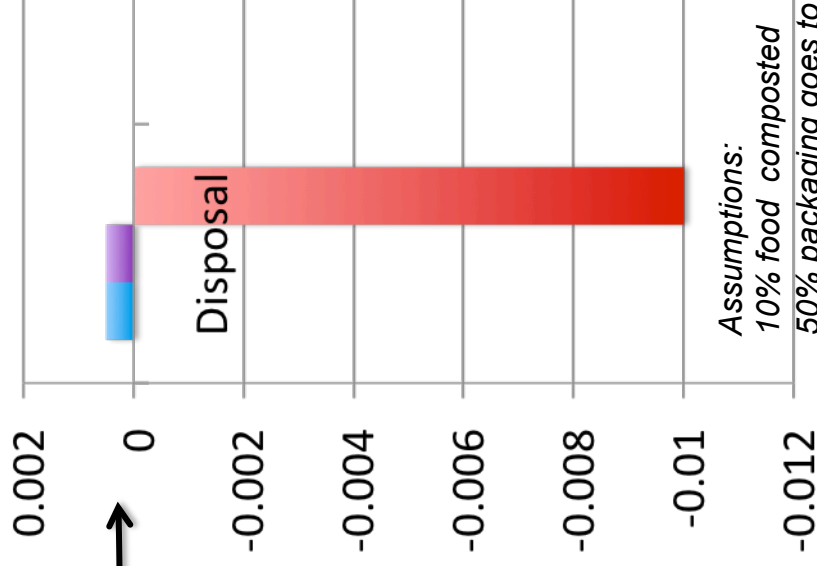
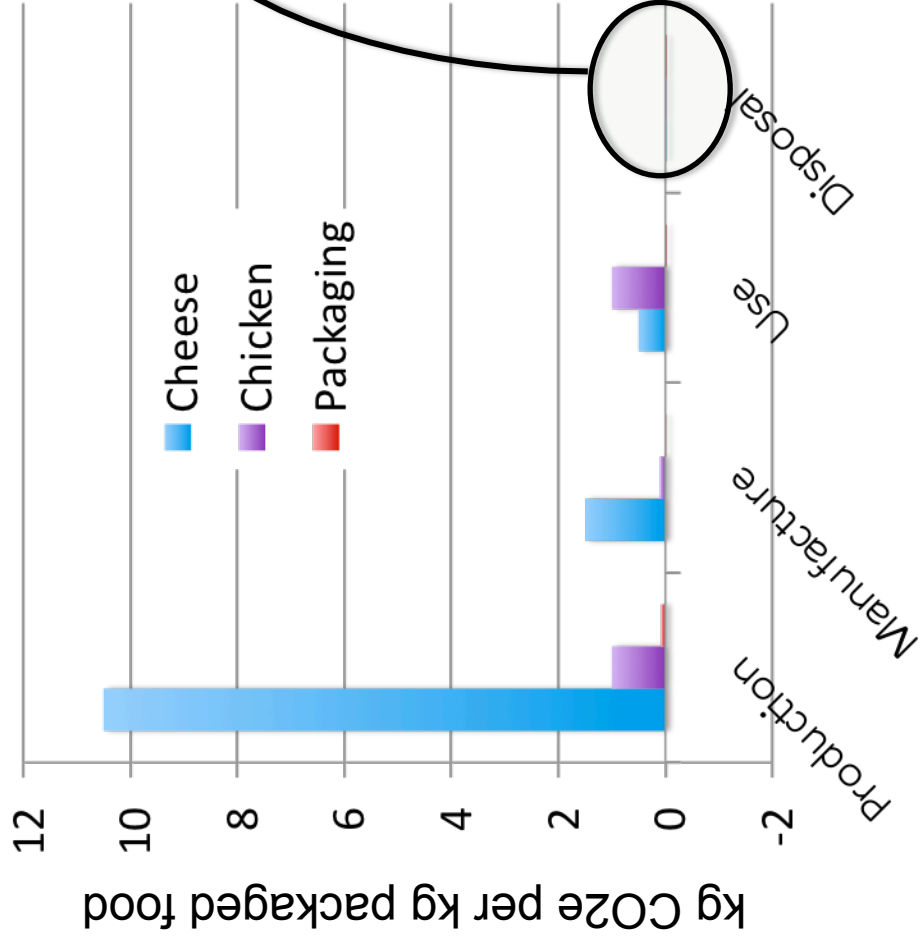
*Most of the carbon footprint comes from production of food.  
Packaging adds a tiny amount to the carbon footprint.*

### **Carbon footprint for 1kg packaged cheese and chicken**

Barlow, C. Y., & Morgan, D. C. (2013). Polymer film packaging for food: An environmental assessment. *Resources, Conservation and Recycling*, 78, 74-80.



# Packaging in context: is it worth recycling?



Assumptions:  
 10% food composted  
 50% packaging goes to energy recovery  
 If packaging to landfill, impact is +0.0001

## Carbon footprint for 1kg packaged cheese and chicken

Conclusion: Packaging footprint tiny compared with food production footprint. Recycling saves a little energy, but there are other reasons for recycling

# UK plastics waste arisings

2014 data (*most recent comprehensive report*)

UK plastics waste 3.7 million tonnes, of which packaging was 2.2 million tonnes (59%)

*Packaging waste origins:*

1.5 million tonnes from consumer sector (e.g. households);

0.7 million tonnes from industry, construction and demolition and agriculture.

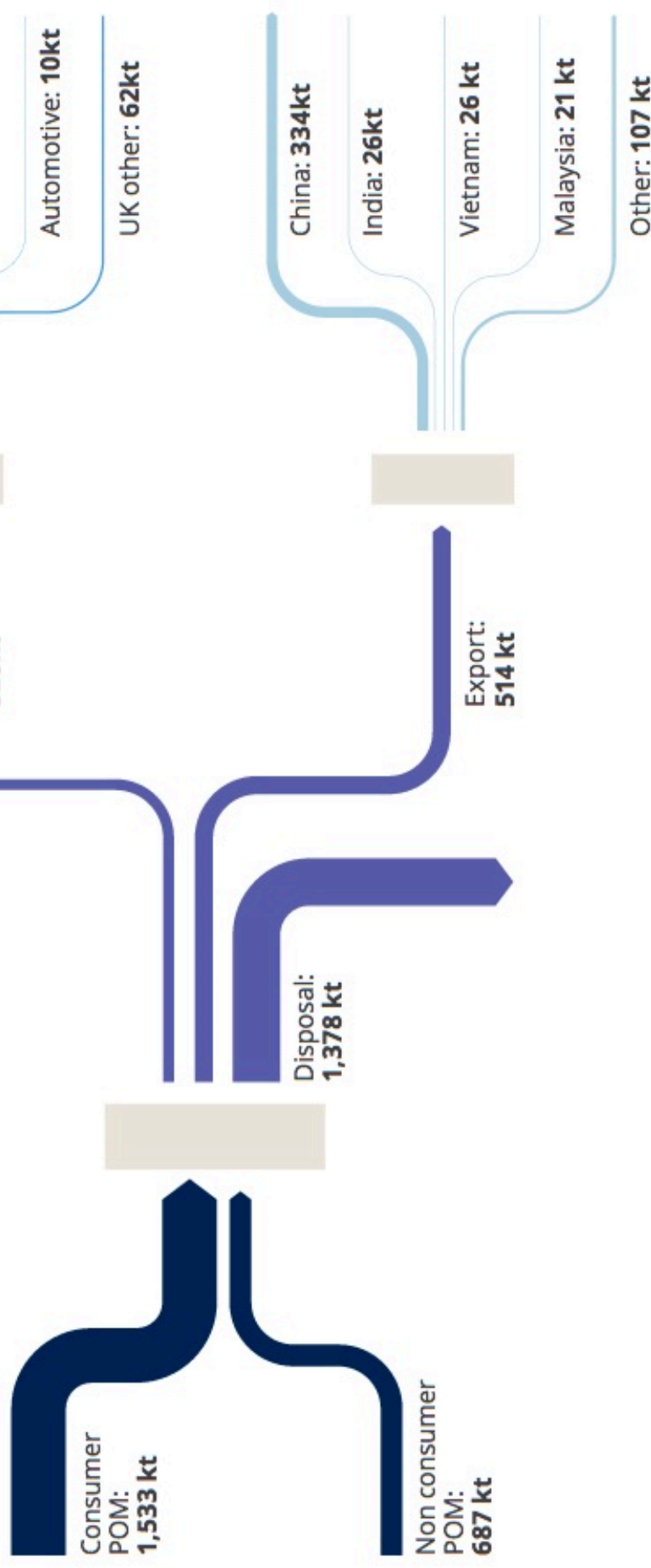
Conr

	Polythene LDPE, LLDPE	Polythene HDPE	PET	Polypropylene PP	Other
Bottles	0	390	420	10	10
Other rigid	10	40	300	160	130
Film	410	110	50	100	100

# What happens to UK polymers at end-of-life?

Chart 3: Plastic packaging flow and end markets, 2014

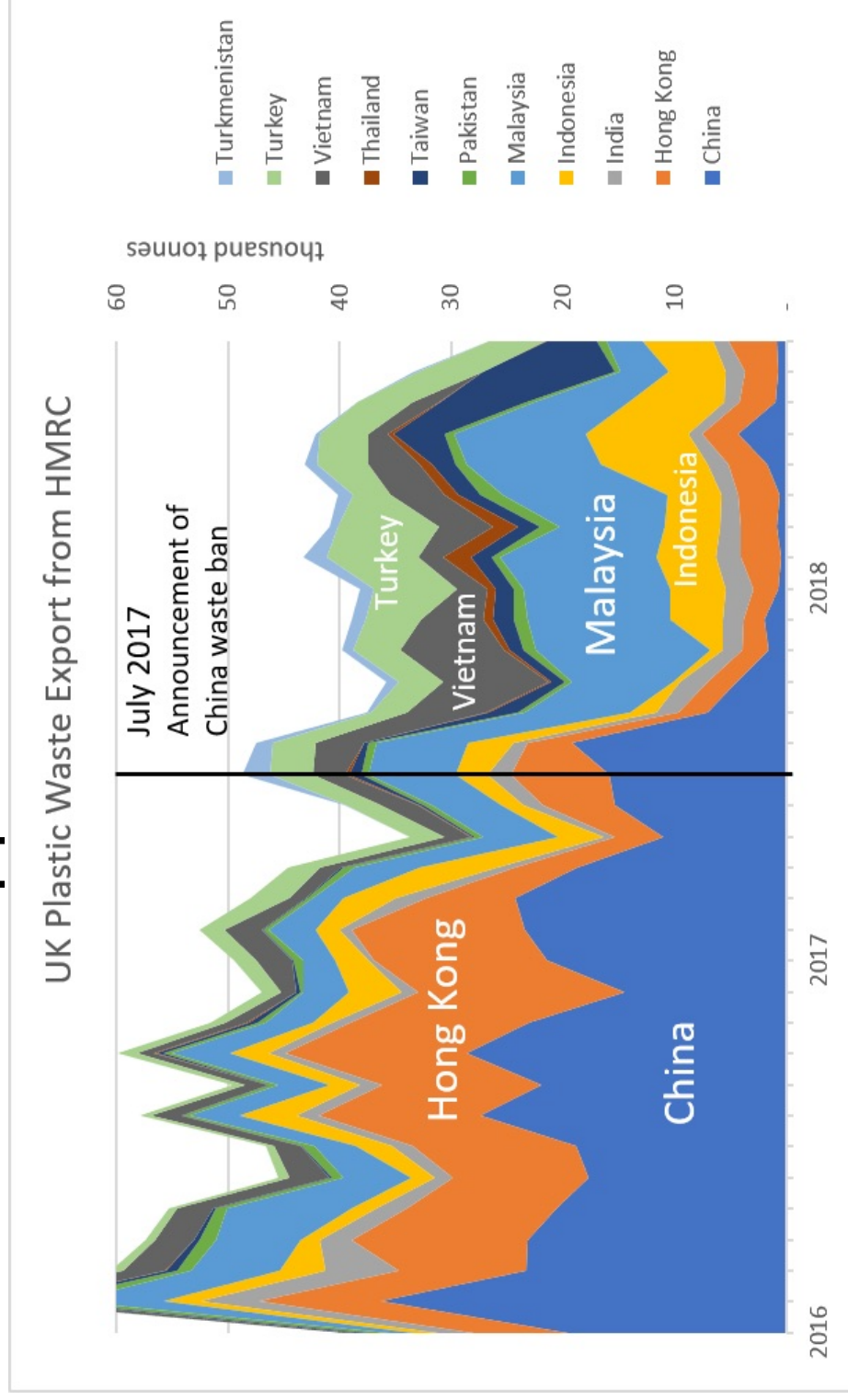
*Note: POM = Placed On Market*



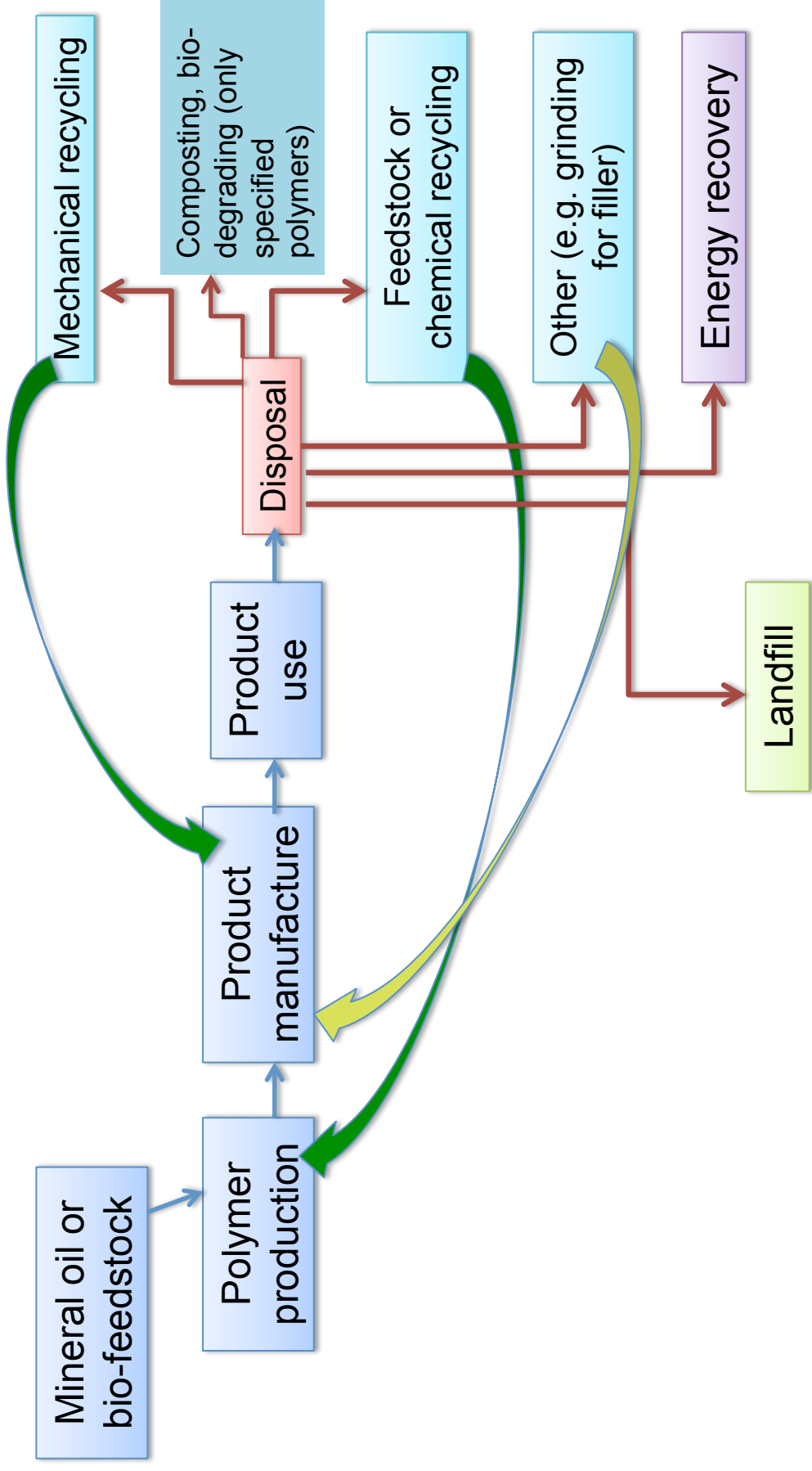


# China stopped importing waste plastic in 2017

## What happened to UK waste?



# What happens to polymers at end-of-life?



# Why recycle polymers?

Ideal scenario: End-of-life polymers are used for the same application again  
***Closed-loop recycling***

Reduces the amount of polymer that needs to be manufactured

There are very few examples of 100% closed-loop recycling

Mostly less than 30% recycled content in bottles (HDPE for milk, PET for water and soft drinks)

**But it can be done** (involves higher costs, changes in design) 100% recycled material *rPET* has been used by Ribena since 2007 and is currently being trialed by Highland Spring

Most recycling involves ***downcycling***: use of polymer for lower-grade application, so doesn't save resources in the same way

e.g. Patagonia fleeces from PET bottles

Water butts from polythene bottles

Polymer waste mixed with wood to make e.g. outdoor furniture, decking



# Material (mechanical) recycling of polymers

Post-consumer waste  
(domestic or commercial)

Sort

Clean

Shred

Melt in screw extruder

Cut into pellets

Pellets (mixed with virgin  
polymer) used for making  
more product



# Sorting?

Plastics must be sorted, shredded, cleaned and reprocessed

## Sorting is critical

Waste dumped onto a conveyor belt at a MRF (Materials Recycling Facility)

Automated identification: air jets to sort into bins

Some sorting by *shape* (bottles, film, 'other rigid plastic' trays, tubs)

Then sort by *polymer type*

Commonly used high-speed automated methods:

- near-infra-red spectroscopy (NIR)

- optical recognition, including colour

Other methods include

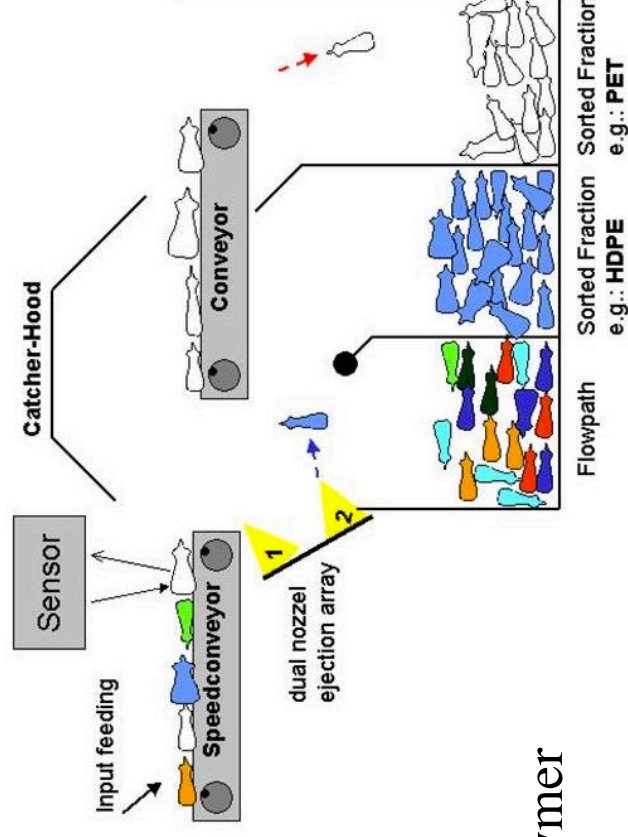
- electrostatics

- X-ray fluorescence

- density methods including flotation

- melting point

Increasingly done on *flakes* of shredded polymer



# Why are polymers so difficult to recycle?

## **Quality of input material is critically important to value of output:**

- Polymers cannot be refined or purified: everything that goes into the mechanical recycling process is incorporated into the output recycled material
- Difficult to analyse polymers to know exactly what is in them

Ideal input: single polymer, clean, uncoloured

## *Single polymer?*

Many polymers are used in combination (e.g food film packaging) and cannot easily be separated

## *Clean?*

Obvious problems:

- Not mixed with other materials (e.g. metal, paper).
- Not contaminated by food, or by anything else (e.g. bottle used for bleach)

Less obvious problems:

- Polymers contain small amounts of many different additives, e.g. to improve processing
- to stabilise against environment in service: includes UV, fire resistance

**Consequence: Applications of recycled polymer are controlled**

# What are the real barriers to polymer recycling?

Oil prices are low

Virgin polymer costs are low

Recycled polymers aren't much cheaper than virgin polymers because:

- \* It's difficult to guarantee the quality, because

Sorting must be close to 100% confidence level to enable high-quality polymer

Polymer prices are critically dependent on quality: you pay for what you get. Unless the quality of the recycled polymer matches that of virgin polymer, people don't want it.

- \* Logistics of gathering end-of-life polymers is expensive

- \* Washing, processing, sorting are expensive

Processors must be able to sell the recycled polymer for a good price to cover their costs

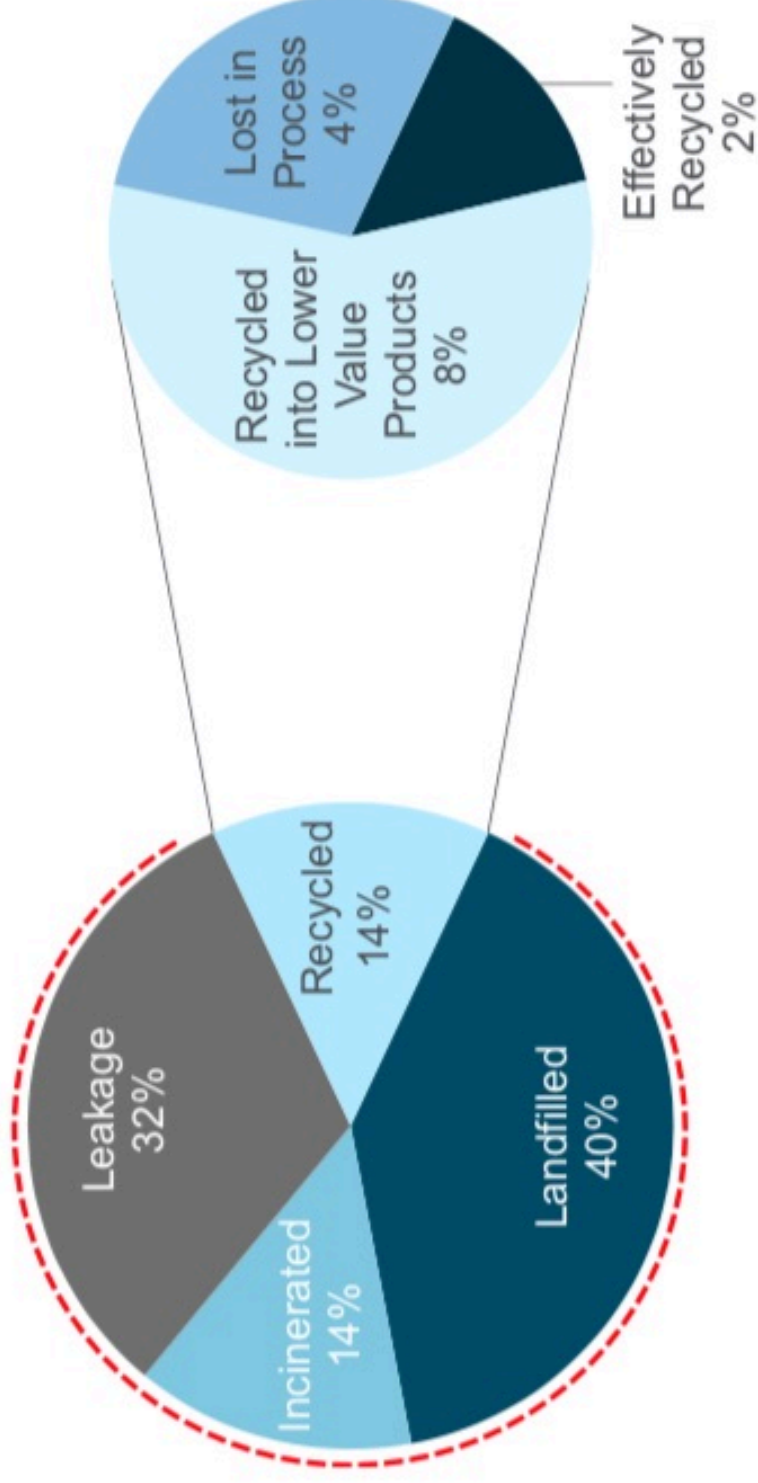
Real barrier:

# Economics



# So how much polymer is really recycled?

86% of Packaging waste is disposed or littered



Source : Citi Research, UNEP

## **Aside:**

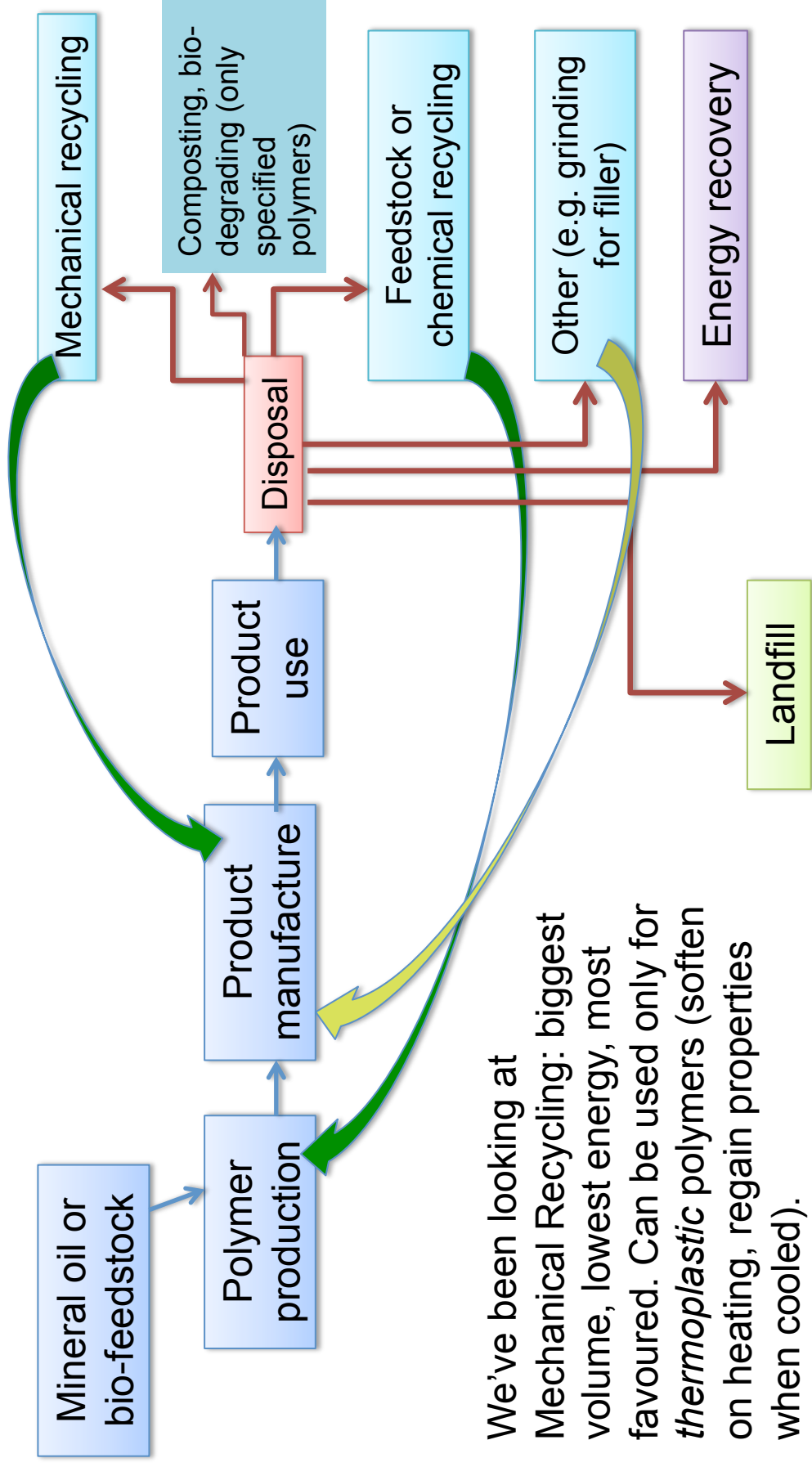
# **Is the situation different for metals recycling?**

- Metals have always been recycled: the material from tools and ornaments from the bronze age or iron age was too valuable to discard
- Infrastructure is quite well-established (collection, transport, sorting)
- Recycling processes closely related to traditional manufacturing processes (typically melting and chemical treatment to remove impurities)
- Analysis and purification of metals is relatively easy
- Recycled metals can be as high quality as virgin material
- Metals have relatively high value

**BUT**

- Collection, transport, sorting all cost money
- Although less resource-intensive than primary manufacture, there are significant environmental impacts of recycling processing (energy, pollution)
- A surprisingly high proportion of metal goes to China for recycling...

# What happens to polymers at end-of-life?



We've been looking at Mechanical Recycling: biggest volume, lowest energy, most favoured. Can be used only for *thermoplastic* polymers (soften on heating, regain properties when cooled).

# What happens to polymers at end-of-life?

Feedstock or Chemical recycling can be used for more polymers, but is energy-intensive. Small volumes currently; expected to increase.

Energy recovery (energy from waste)

Polymers are energy-dense oil

Reclaiming this energy to make electricity (typically) saves fuel

e.g. Cory: Energy from waste, London

Waste from London is transported by river to the Riverside facility at Belvedere

High-efficiency clean combustion used to create super-heated steam

This drives a turbine that powers the electricity generator

Generates 525,000 MWh, enough power for 160,000 households

Landfill: put in a hole in the ground.

Has been cheap and easy; relatively low environmental impact



## **Incineration: Energy from waste**

Incineration with energy recovery (electricity, sometimes heat as well)  
Plastics are high-energy fuel. 10% of EU municipal waste would provide 5% of EU energy needs, saving 14 million tonnes of oil per year

Is it safe?

There are stringent safety and planning requirements

Local opposition in UK: nobody wants to live nearby

Rubbish transported over large distances

High volumes of traffic around incinerator plants

Anxiety over gaseous emissions, toxic chemicals in residues

However, in mainland Europe energy from waste is common and doesn't cause public opposition

UK capacity has quadrupled in last 5 years

For contaminated plastics, it's the favoured solution for now

# How can polymer recycling be increased?

## How could the financial equations be altered?

Government subsidies

Legislation forcing increased recycling – e.g. required minimum recycled content in products

Oil prices rise, so re-processed polymer becomes more valuable

Design for recyclability:

Narrower range of polymers

Think about joining methods

Use sub-optimised material (but increases weight of article, uses more material)

No coloured plastics

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Advances in recycling technology to produce higher-grade higher-value material

Improved sorting

Better tolerance of impurities in mechanically recycled plastics

*e.g. Using calcium carbonate to react with chlorine from PVC*

Processing of mixed plastics

*e.g. Research into 'compatibilising' mixed polymers, including using shear processes to break chains, generating new block co-polymers*

More chemical recycling

## Alternative packaging scenarios

Food packaging is heavily contaminated with food, so difficult to recycle economically

Why not use packaging that will decompose in the same way as food?

### *'Biodegradable packaging'*

Decomposes to biomass in contact with air (composting)

Cardboard, paper

Naturally permeable to gases and moisture

Barrier properties require coating or impregnating

*e.g. Greaseproof paper: high-density refined paper impregnated with starches or alginates*

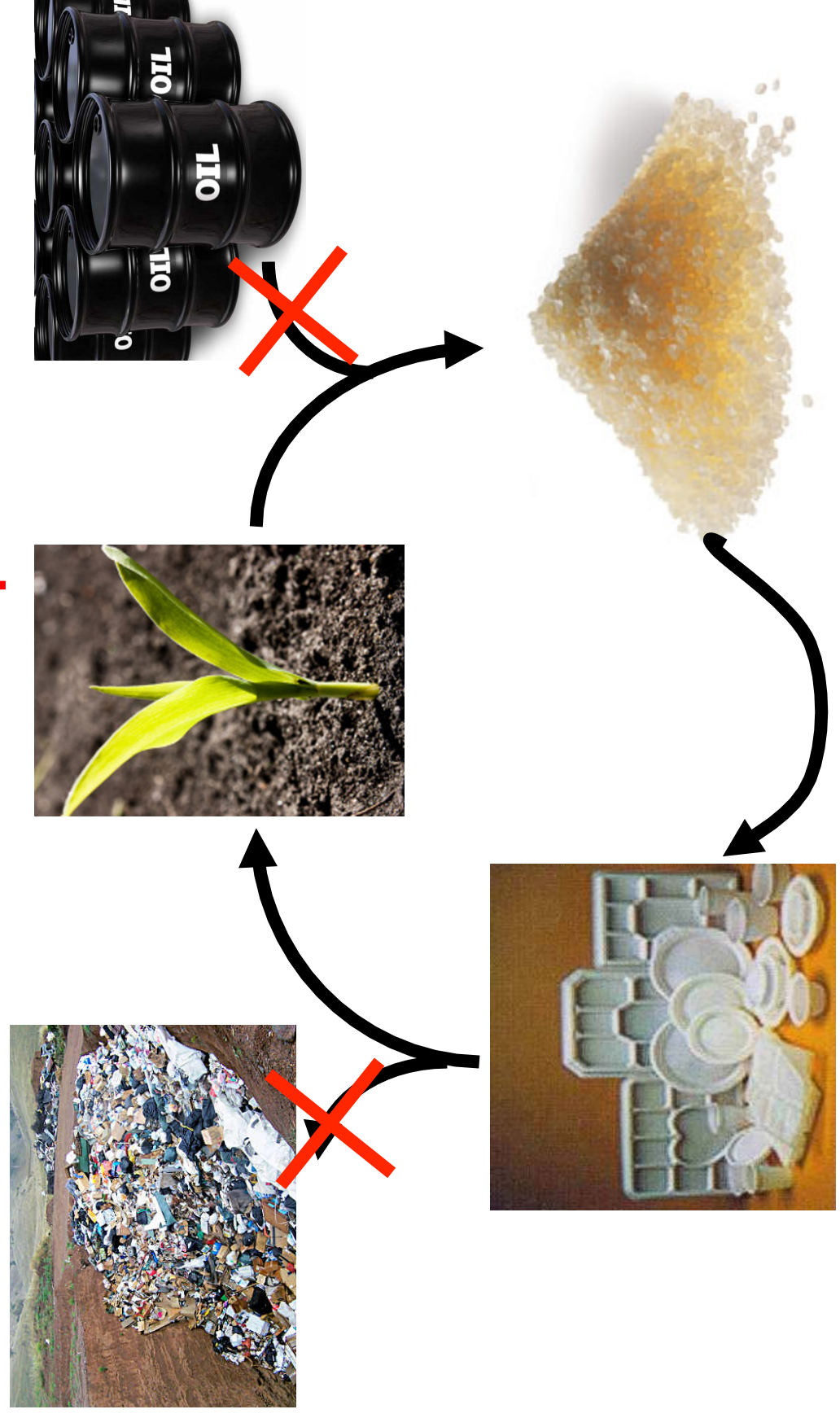
**Carbon footprint of cardboard? May be higher for cardboard than for polymers (energy-intensive production; decomposes to methane)**

## How about biopolymers?



# Why Biopolymers?

The sales pitch:



## **Issues associated with biopolymers**

- Intensive agriculture (monoculture)
- Capacity (less than 1% of total polymer production)
- Competition with food production
- Energy requirements (fuel, fertiliser, pesticides)
- Water requirements
- Cost
- Disposal?

# Packaging to be thrown away with food. What happens to *food* in disposal environments?

- Home and industrial composting
  - Degraded by aerobic micro-organisms into carbon dioxide and water
  - Timescale: days to weeks
- Anaerobic digestion
  - Degrade in the absence of oxygen through a series of processes ultimately resulting in carbon dioxide and methane, e.g. sewage treatment
  - Timescale: days to a few weeks



- Landfill
  - Either zero or anaerobic degradation
  - Timescale: weeks to years, or longer



# Issues with Biopolymer Disposal

Mostly not suitable for home composting (temperatures not high enough)



May not degrade sufficiently fast in industrial composters

Anaerobic digestion becoming more popular: biopolymers  
mostly don't degrade



May not degrade in natural environment

**Can contaminate conventional polymer recycling streams**



# And another thing: 'Biodegradability'?

Polymers that degrade in the environment

Includes *Oxo-degradable* plastics

Polythene or other polymer plus heavy metal additive

Breaks down into fragments over typically 12-24 months

Degraded product: polymer fragments, contaminated with toxic heavy metal

Now banned in some EU countries

Responses: what should we do about plastics?

***Knee-jerk reactions:***

Get rid of all plastics

Ban all single-use plastic

## Global initiatives

2002: Plastic bag ban, Bangladesh. Introduced following devastating floods in 1988 which were exacerbated by plastics blocking drainage systems.

(This has been followed by bans in other countries globally; UK introduced charge for plastic bags in 2015 which reduced plastic bag usage by 83%)

India will introduce ban on single-use plastics in 2020, but several cities have already initiated bans, e.g. Mumbai:

Plastic bags, cups, bottles banned, with fines of \$70 for first-time offences and up to \$350 and three months jail for repeat offenders

# Global initiatives



## Conclusions: what should we do about plastic packaging?

### ***Knee-jerk reactions:***

- Get rid of plastic packaging
- Ban all single-use plastic

### ***Superficially plausible but problematic:***

- Use bio-based, biodegradable polymers

Food packaging: use alternative materials that don't look like plastic and will degrade in the same way as food

*Lots of interest in using waste natural products e.g. from mushroom growing, sugar-cane waste, maize (corn) waste, wood products*



## **Conclusions: what should we do about plastics?**

**Plastics are versatile and have made huge positive contributions**

**Be careful about knee-jerk reactions**

**Ask questions about bio-based, biodegradable polymers: they aren't all good**

**Alternative materials: Lots going on**

**Reduce: Stop using un-necessary plastics**

**More re-use?**

**More recycling: Increase UK capacity, stop uncontrolled exports**

**More energy from waste: but only as a medium-term**